



**Jet Propulsion Laboratory**  
California Institute of Technology

## **CGI Technical Status Phase Retrieval**

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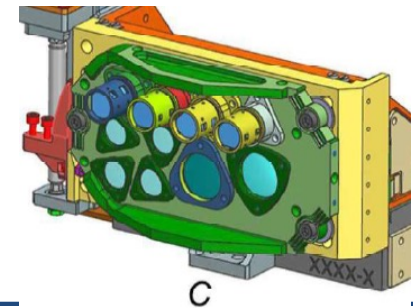
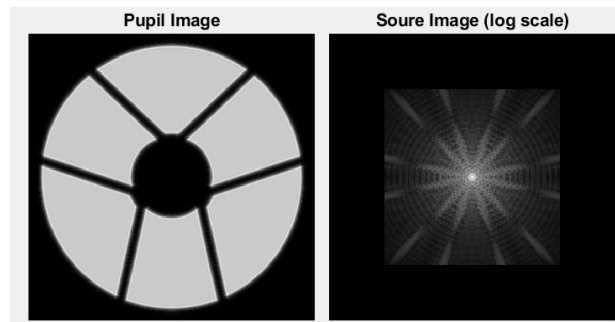
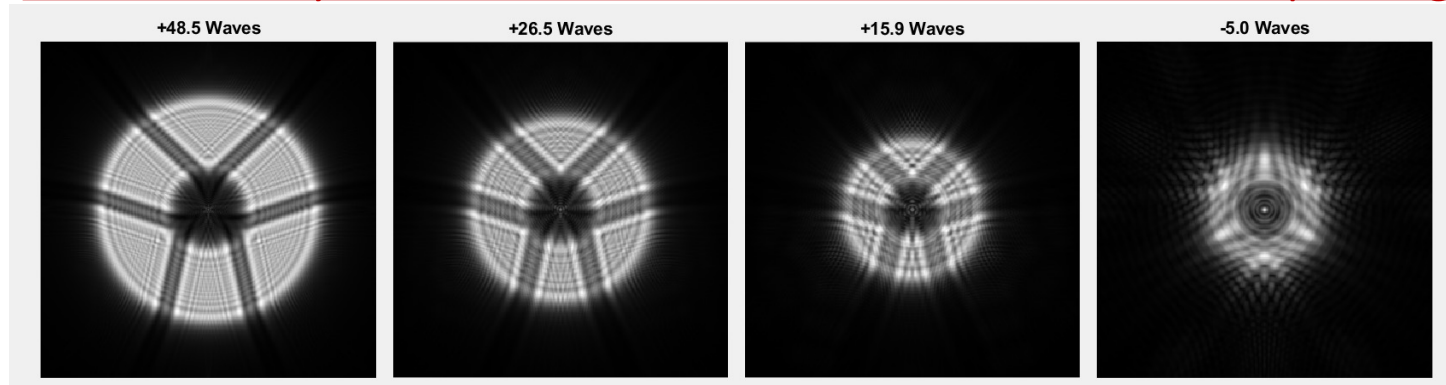
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- Purposes of Phase Retrieval: Alignment and Calibration
  - DM Registration
  - Flatten Front-end Wavefront
    - Measure Total WFE and Back-end WFE
- Review of Phase Retrieval Method
  - Predicted Performance
- TVAC
  - Variation from Design and Models
  - Meets All Requirements:
    - Repeatability: Requirement < 15nm rms, TVAC Measured 8nm rms
    - Capture Range:
      - Requirement =  $\pm 108$ nm rms defocus, TVAC Measured  $\pm 123.1$ nm rms
      - Requirement =  $\pm 98$ nm rms ex defocus, TVAC Measured  $\pm 164.4$ nm rms
    - Accuracy: TVAC Measurements:
      - Small  $\Delta Z_4$  Steps Using Fine FCM Motion: 2.1nm steps with maximum nonlinearity of 0.5nm
  - Examples and Images
    - Flattening sequence
    - DM Registration
    - Back-end pinhole phase retrieval

## Focus Diversity for Phase Retrieval: Four Defocus Lenses + Pupil Image



## Propagation Model is in Camera-Lens Domain

### a) Gerchberg-Saxton Iterations

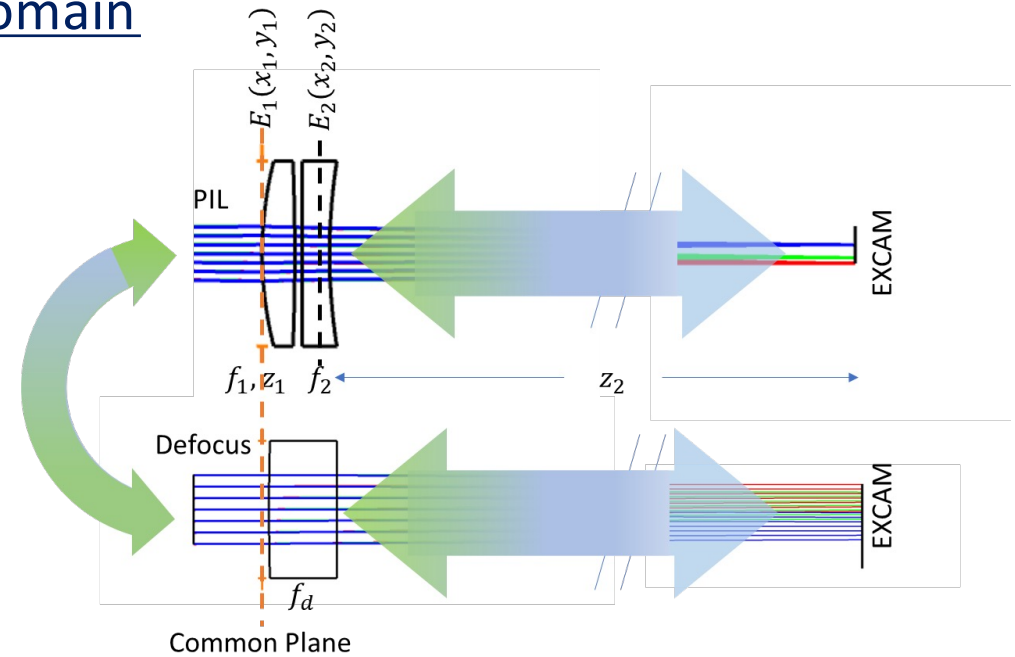
- Defocus Diversity Near Pupil
- Recovers High Spatial Frequencies
- Propagation Model Back-and-Forth Accounts for Lenses in a Single Integration

### b) Parametric Fitting

- Defocus Diversity Near Star Image
- Recovers Low Spatial Frequencies
- Natural Weighted Regularized Gradient Descent
- Weighted by Noise Variance

### c) The “Recipe”:

- Alternate Between GS Iterations and Parametric Fitting



## Sources of Estimation Error

- Differences Between Full Reference Model (CGISIM) and PR Propagation Model:
  - Bandwidth --- Full Model Incoherently Sums Multiple Wavelengths
  - Treatment of Doublet Lenses
  - Integration Across Camera Pixels, whereas PR Propagates Pixel to pixel
  - No Assumptions About the Common Plane
  - Fixed Parameters, Not Solved For, Potential Knowledge Errors:
    - Lens EFL (six lenses)
    - Propagation Distance Lens-to-Camera



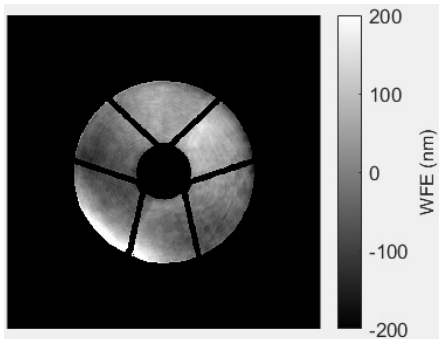
# Review of Phase Retrieval Method

- Modeled Phase Retrieval
  - **“Truth” = CGISIM**

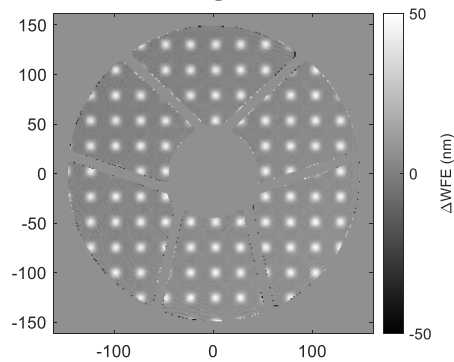
Effect	Error (nm rms)	Z4 error (nm rms)
No lens errors	2.8	-0.4
Add lens errors	4.4	0.2
Add polarization	4.4	0.2
Add 0.5% EFL knowledge error	11.5	0.3
Lens errors + 100nm defocus	4.3	-0.2
Lens errors + CCD noise	5.8	0.1

## Examples:

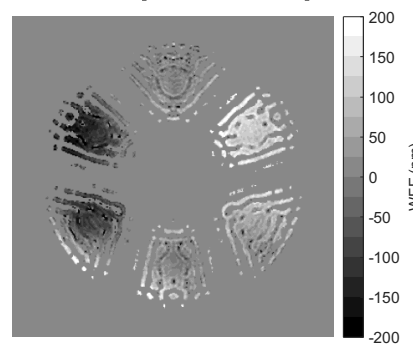
Nominal System  
WFE



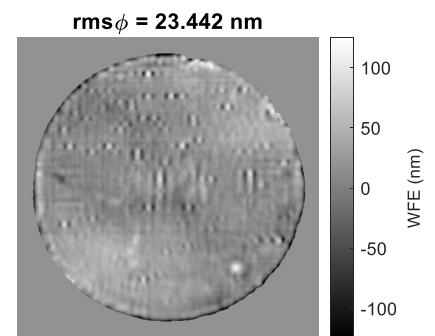
DM Registration



Shaped Pupil

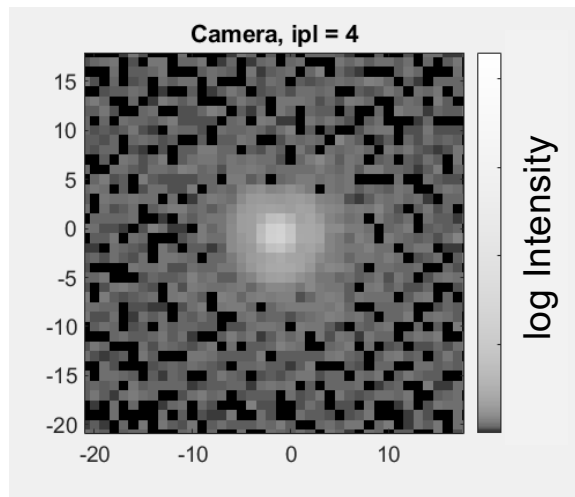


Testbed Demo

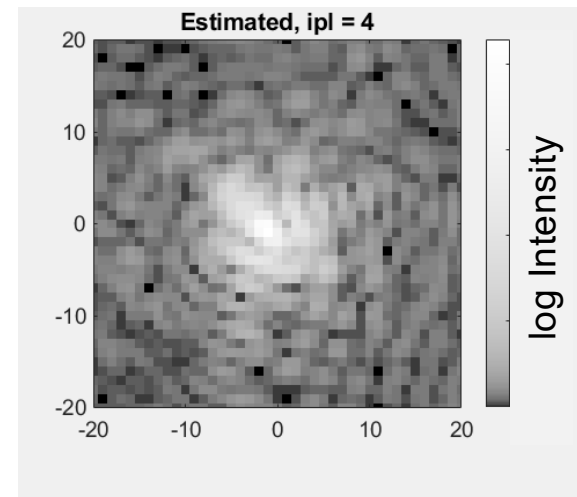


- **Modification #1: Do Not Use DI Image**
  - Phase Retrieval Attempts to Reproduce the “woven fabric” Background.
  - Impossible Because Background is Not From Propagated Light.

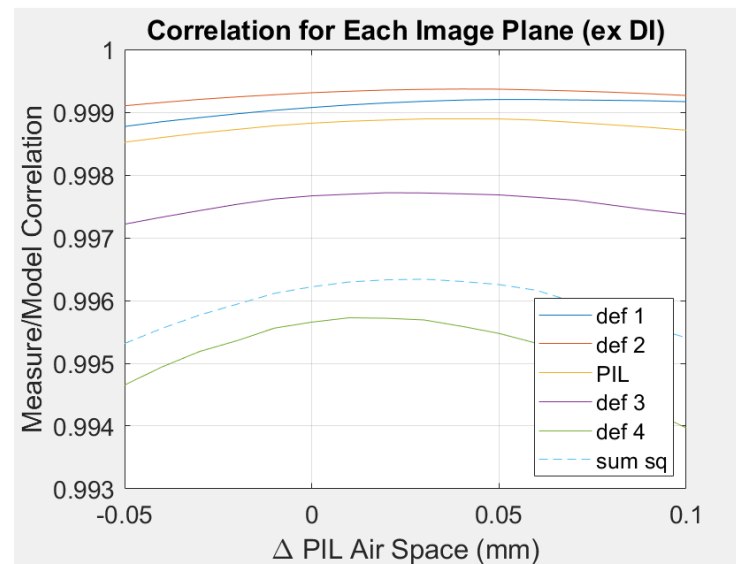
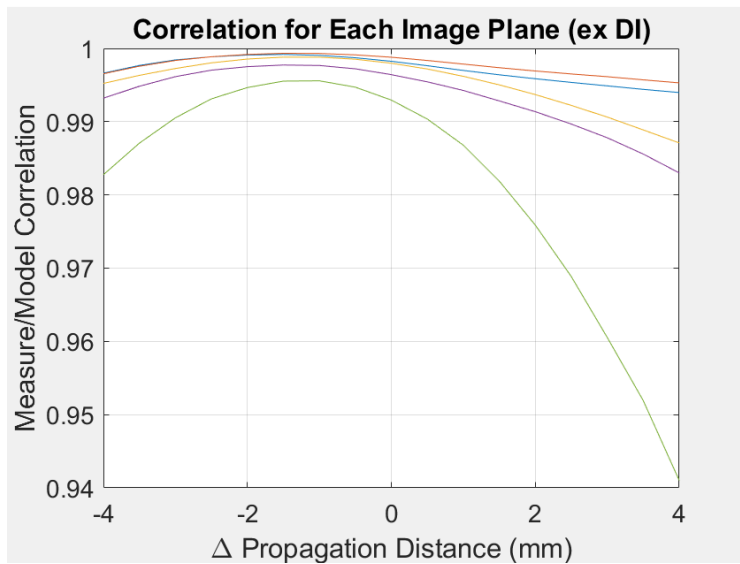
## Measured



## Estimated



- Modification #2: Propagation Model
  - Modify Propagation Distance to EXCAM by -1mm
  - Modify PIL Air-space by +0.02mm



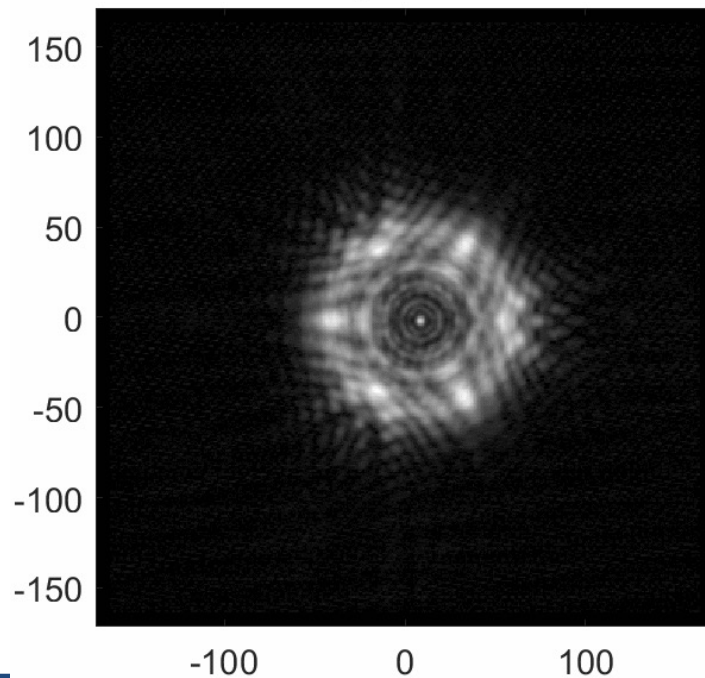


## TVAC - Modifications

- Modification #3: Add Defocus 3 and Defocus 4 to GS Iterations
  - Parameters Used in Parametric Fitting Were Not Enough

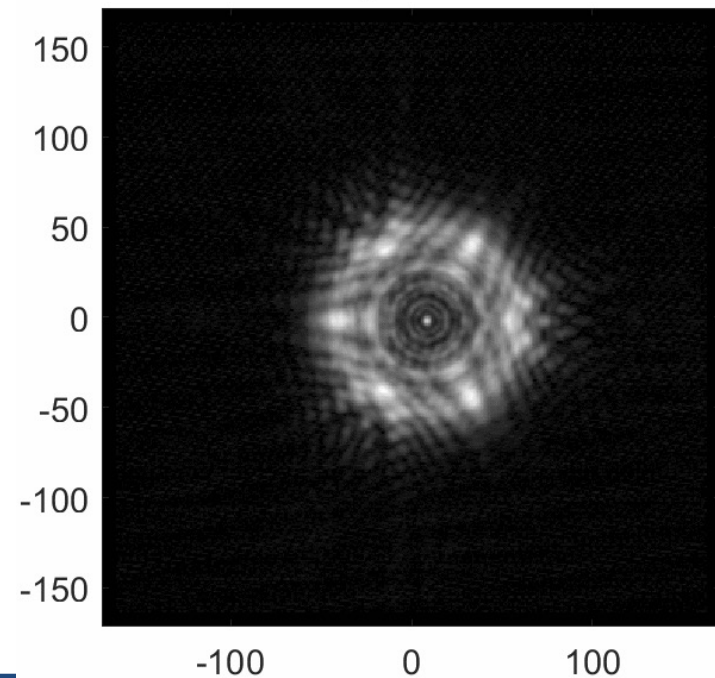
**Old**

#5 def<sub>4</sub>, measured



**New**

#7 def<sub>4</sub>, measured

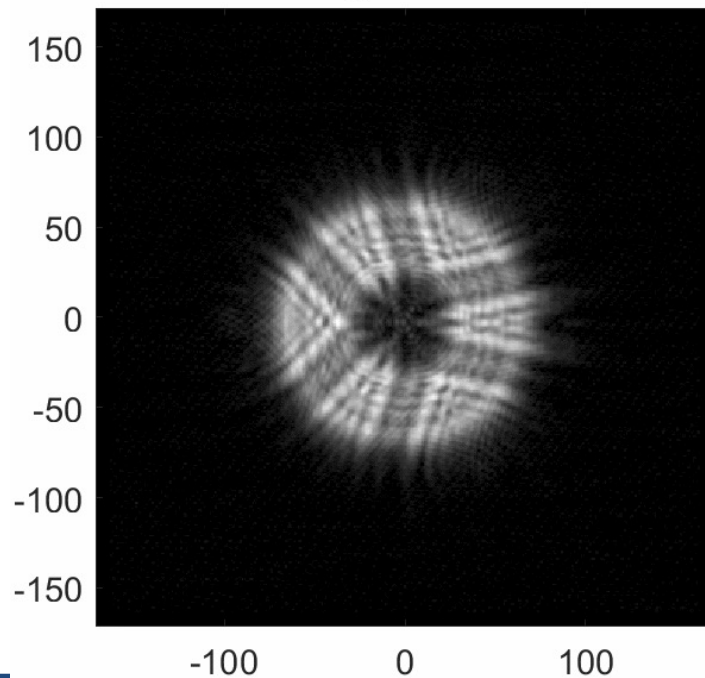


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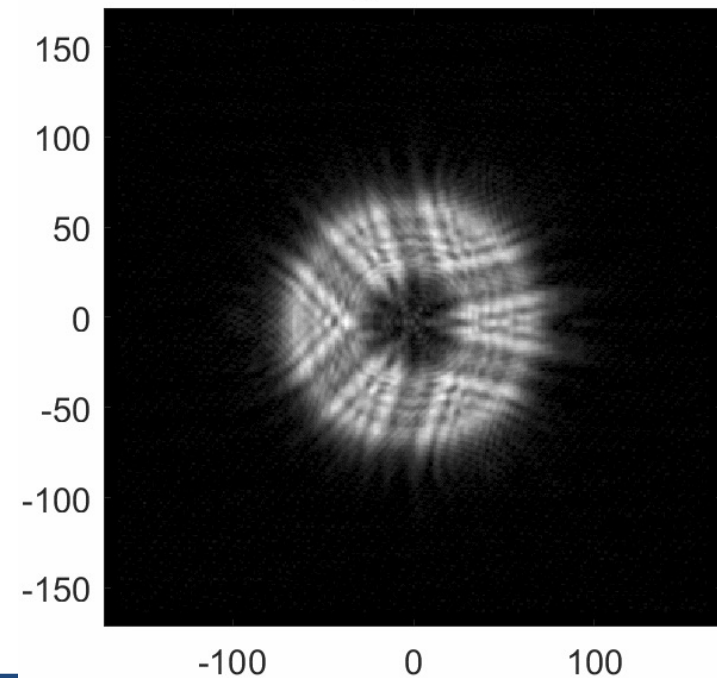
**Old**

#4 def<sub>3</sub>, measured



**New**

#6 def<sub>3</sub>, measured

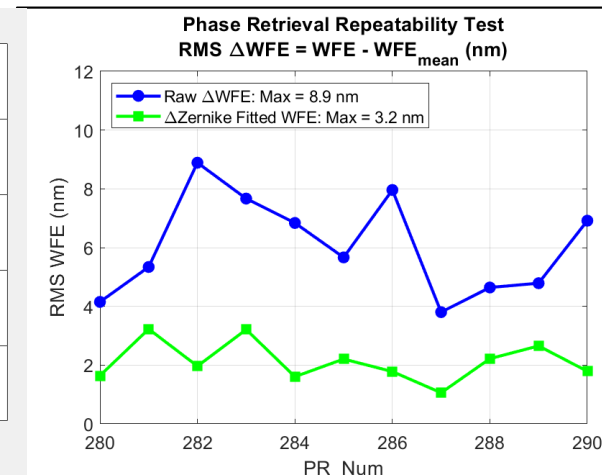
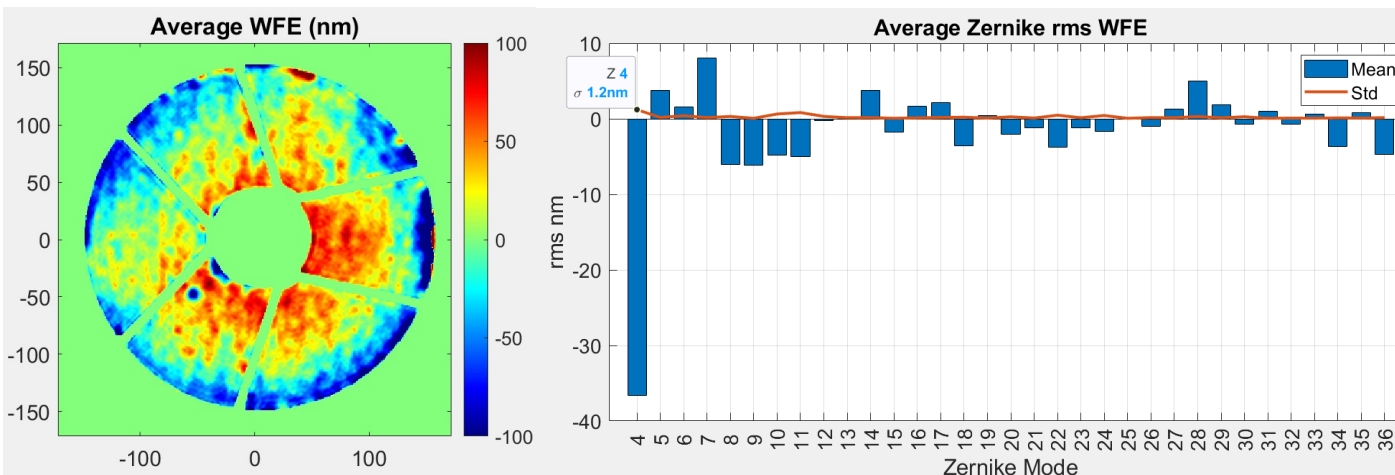
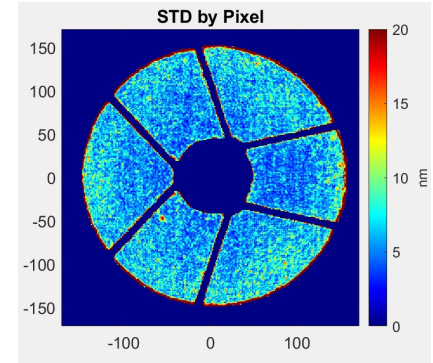


## Summary of Phase Retrieval Requirements

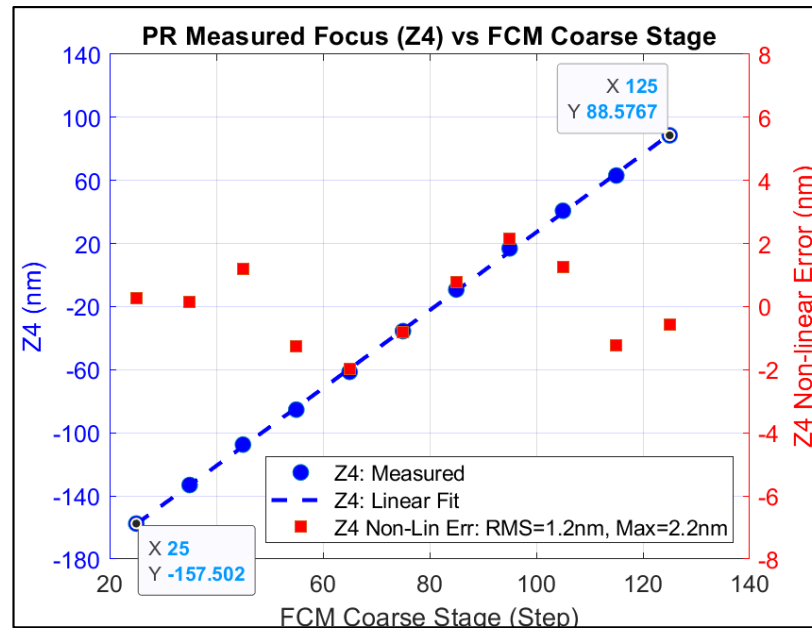
- Static Repeatability: Requirement < 15nm rms
  - TVAC: Repeated Measurement 11 Times
  - Max Raw Repeatability Error: 8.9nm rms (mostly high spatial frequency)
  - Max Zernike Fit Repeatability Error: 3.2nm
- Capture Range:
  - Requirement =  $\pm 108$ nm rms defocus, TVAC Measured  $\pm 123.1$ nm rms
  - Requirement =  $\pm 98$ nm rms ex defocus, TVAC Measured  $\pm 164.4$ nm rms
- Accuracy: Requirement < 26nm rms
  - TVAC: Measured Differential Accuracy
  - Small  $\Delta Z_4$  Steps Using Fine FCM Motion
  - Max Linearity Error of 0.5nm

# Phase Retrieval Repeatability

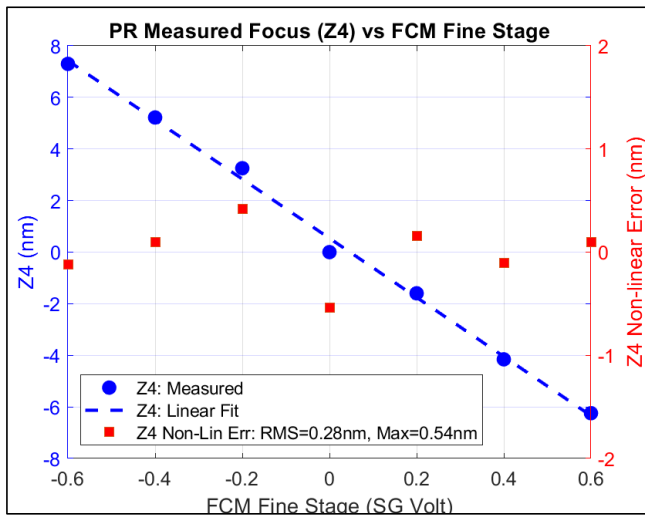
- 11 Sets of Phase Retrieval Images
- Before Wavefront Flattening, WFE ~ 42nm rms
- Raw (pixel by pixel) PR repeatability error:
  - $\Delta WFE_{rms}(PR\_NUM) = RMS[WFE_{PR\_NUM}(pixel_j) - WFE_{mean}(pixel_j)]$ , max = 8.9nm
- Zernike fitted (37 terms) PR repeatability error:
  - $\Delta WFE_{Zernike}(PR\_NUM) = RMS[Zernike\ Fitted(WFE_{PR\_NUM}) - Zernike\ Fitted(WFE_{mean})]$
  - Largest Error: Z4,  $\sigma = 1.2nm$



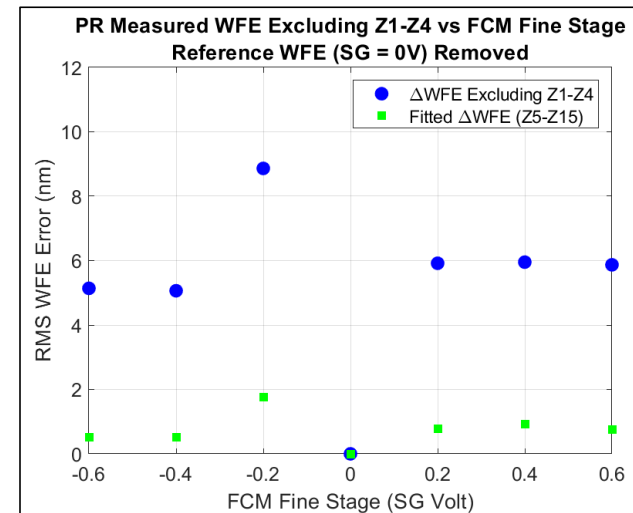
- Measured Z4 vs FCM Coarse Stage
- Demonstrates Capture Range: -157nm to +88nm
- FCM Coarse Stage Is Not Suitable For Accuracy Comparison



- FCM Fine Stage: PZT with Strain Guage Provides Delta-Focus Truth
- Recorded Phase Retrieval Images
  - Steps of  $2.1\text{nm} \pm 0.37\text{nm}$  Defocus
  - Uncertainty is from Linearity + Creep
- Plot Measured  $\Delta Z_4$  vs Expected  $\Delta Z_4$ 
  - rms Linearity Error =  $0.28\text{nm}$ , max Linearity Error =  $0.54\text{nm}$

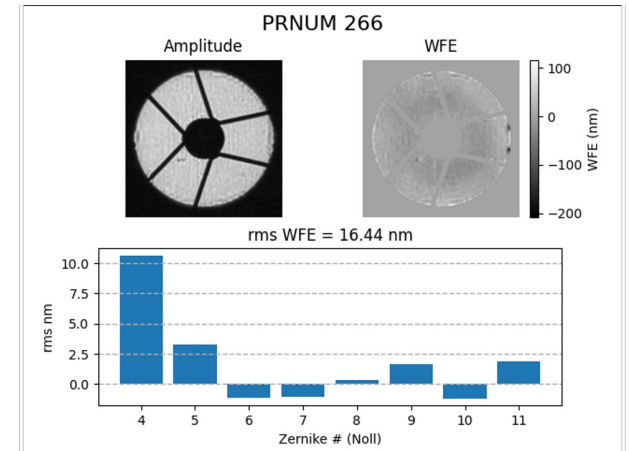
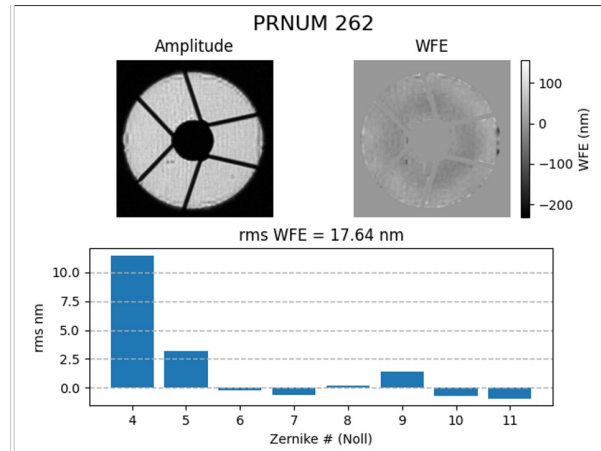
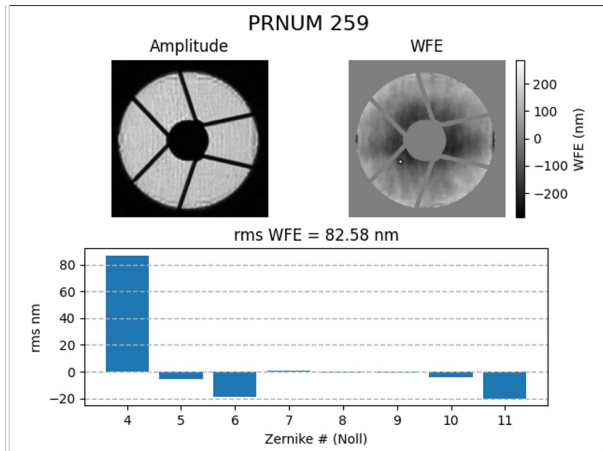


## WFE ex Z4 Was Stable



# TVAC PHASE RETRIEVAL EXAMPLES

- Sequence of Wavefront Flattening

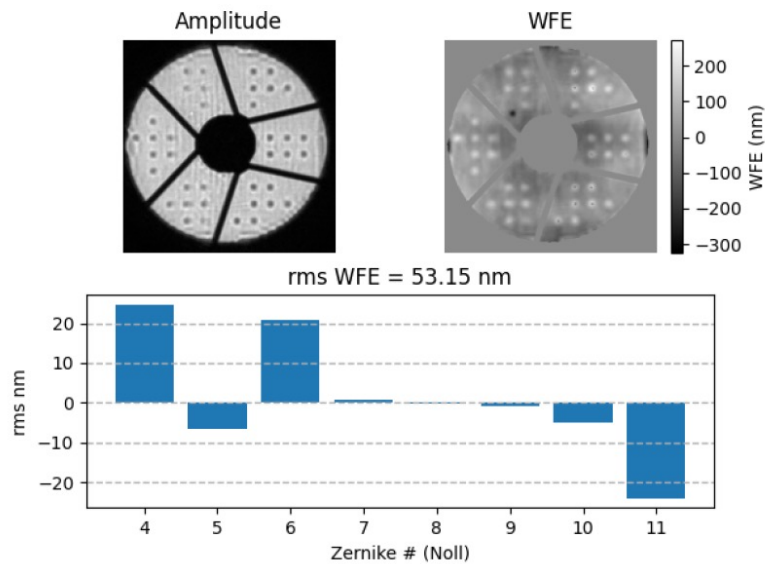




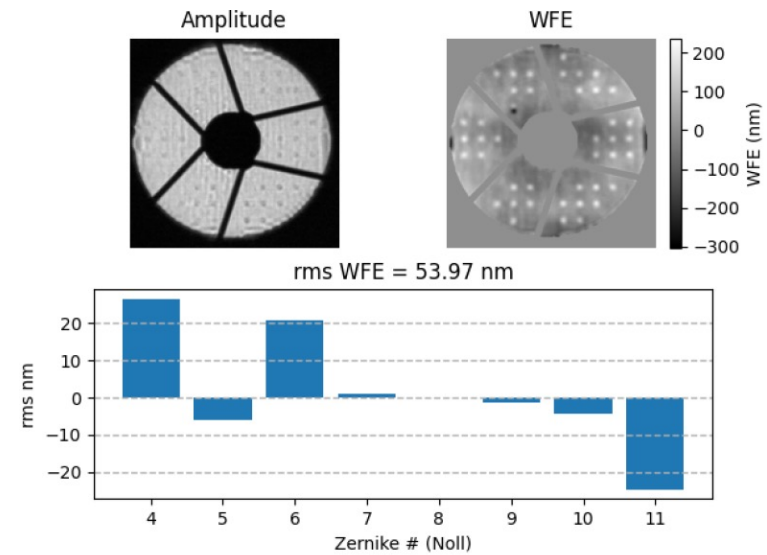
# TVAC PHASE RETRIEVAL EXAMPLES

- DM Registration

## DM1 Poke Grid

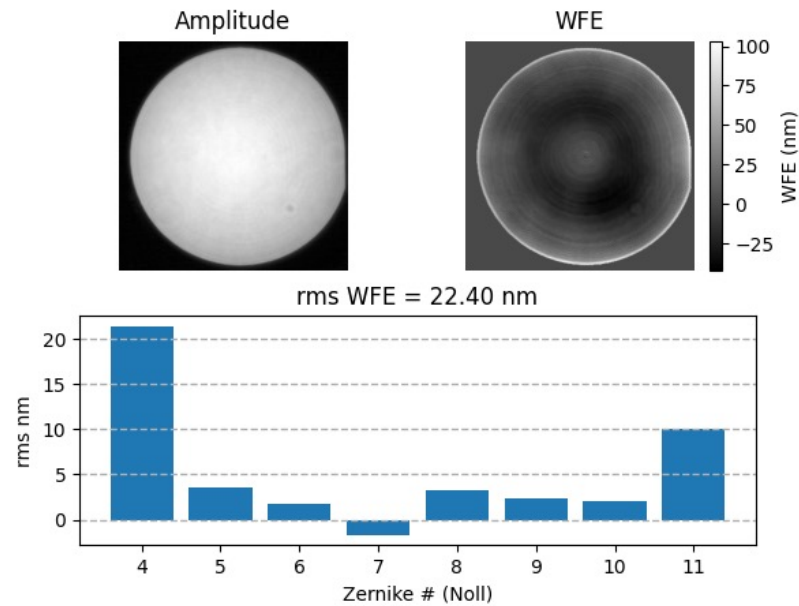


## DM2 Poke Grid



# TVAC PHASE RETRIEVAL EXAMPLES

- Back-end WFE
  - Pinhole at FPM



## Summary

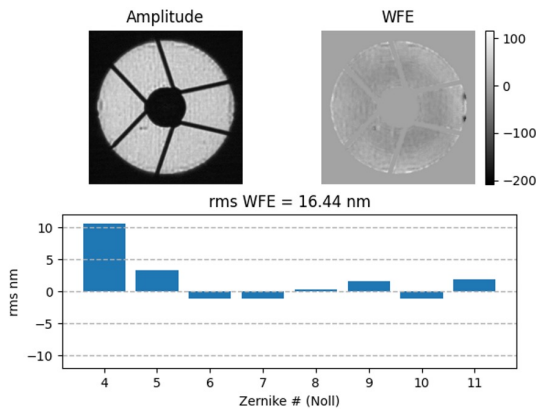
- Phase Retrieval Approach Based On Testbed Experience
- Design and Method Tested Against CGISIM
- Reality of TVAC Required Modifications
  - Most Notable – Eliminating the DI Image from the Calculation
- All Requirements Met:
  - Repeatability
  - Accuracy
  - Capture Range
- Phase Retrieval a Key Tool for Alignment and Calibration

## Back-up charts

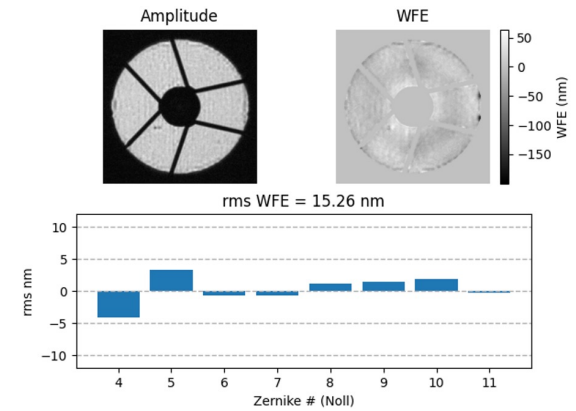
# Phase Retrieval Result With Modifications

- Design vs Modified Parameters  
e.g.: “Front-end Phase Flat”
  - Change is primarily Z4:
    - Prop distance -1mm: -10nm Z4
    - PIL air-space: -5nm Z4
    - Including Def 3 & 4: 0 Z4

## Before Modifications (No DI Image)



## After Modifications



## Image Correlations

	Lens	Design	Modified
<b>GS Planes</b>	Def 1	0.999	0.999
	Def 2	0.999	0.999
	Def 3		0.999
	Def 4		0.999
	PIL	0.999	0.998
<b>PAR Planes</b>	Def 3	0.995	0.999
	Def 4	0.991	0.999